

# Robert W. Spekkens

## *Curriculum Vitae*

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## Personal Details

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Citizenship Canadian

Date of Birth 28/08/1972

## Academic Positions

11/2008 – present Junior faculty at the Perimeter Institute for Theoretical Physics,  
Waterloo, Canada

1/2006 – 10/2008 Royal Society USA/Canada research fellow at University of  
Cambridge, Cambridge, United Kingdom

10/2005 – 1/2006 Affiliate of the Institute for Quantum Computing, Waterloo, Canada

1/2003 – 1/2006 Postdoctoral fellow at the Perimeter Institute for Theoretical Physics,  
Waterloo, Canada

9/2001 – 12/2002 Postdoctoral fellow in the group of John Sipe, Department of Physics,  
University of Toronto, Toronto, Canada

7/2002 – 12/2002 Affiliate of the Perimeter Institute for Theoretical Physics, Waterloo,  
Canada

## Education

9/1995 – 9/2001 Ph.D. Physics **University of Toronto**, Toronto, Canada  
Awarded 11/2001 Thesis: Aspects of entanglement  
Supervisor: Professor John E. Sipe

9/1994 – 9/1995 M.Sc. Physics **University of Toronto**, Toronto, Canada  
Awarded 11/1995

9/1989 – 9/1994 B.Sc. Joint Honours **McGill University**, Montreal, Canada  
Awarded 11/1994 Physics and Philosophy

## Fellowships, Scholarships and Awards

2008	Birkhoff-von Neumann Prize of the International Quantum Structures Association
2006 – 2009	Royal Society USA/Canada Research Fellowship
2002 – 2004	NSERC Postdoctoral Fellowship
1998 – 2000	Ontario Graduate Scholarship
1996 – 1998	NSERC Postgraduate Scholarship B
1997 – 1999	Walter C. Sumner Memorial Fellowship
1997 – 1998	E. F. Burton Fellowship
1995 – 1996	University of Toronto Special Open Doctoral Fellowship
1995	Edward Christie Stevens Award
1994 – 1995	University of Toronto Open Master’s Fellowship
1994	NSERC Undergraduate Student Research Award
1989 – 1992	Canada Scholarship
1989	Irving Levitt Family Foundation Scholarship
1989	McGill University McConnell Entrance Award

## Competitive Research Grants

Year	Project Title	Grant	Role	Value
2007	Operational Probabilistic Theories as Foils to Quantum Theory	FQXI award Foundational Questions Institute, www.fqxi.org	Principal Investigator (with Jonathan Barrett and Tony Short)	46,000 USD
2006-2009	Reference frames, contextuality, and the axiomatization of quantum theory	Royal Society USA/Canada Research Fellowship (research funds)	Principal Investigator	36,000 GBP over 3 years
2004-2006	Relative Quantum Information theory	Australian Research Council International Linkage Grant LX0455561	Principal Investigator (with Stephen Bartlett and Danny Terno)	30,500 AUD over 2 years

## Research highlights

### *Highly cited publications*

References are to the list of publications. Citation statistics from Science Citation index and from Citebase (<http://citebase.eprints.org>).

The article entitled “Classical and quantum communication without a shared reference frame”, Ref. [4], (51 citations, 62 on-line) is the first article to consider the consequences of lacking a reference frame for quantum information-theoretic tasks, and introduced the conceptual framework that has been followed by much subsequent work on quantum

reference frames. Some of its predictions have been verified experimentally in K. Banaszek et al., Phys. Rev. Lett. 92, 257901 (2004). The 11 articles I have written on the subject of reference frames in quantum theory, Refs. [3,4,6,9,12,15,16,17,18,21,22], have together gathered 187 citations, 182 online.

Ref. [27], “Spatial fragmentation of a Bose-Einstein Condensate in a double-well potential,” (46 citations, 33 on-line) is early work from my Ph.D. that demonstrated for the first time the possibility of fragmentation of a BEC, a phenomena that has since been investigated intensively, particularly in connection with the transition between Mott insulator and superfluid phases in optical lattices. The predictions have been verified experimentally by Mark Kasevich’s atom optics group in C. Orzel et al. Science 291, 2386 (2001).

Ref. [24], “Degrees of concealment and bindingness in quantum bit commitment protocols,” (15 citations, 27 online) demonstrated the possibility of partial security for the cryptographic primitive of bit commitment and is one of only a few results in quantum information theory that differentiates qutrits from qubits in a nontrivial manner. It inspired the experiment of Andrew White’s quantum optics group, reported in N. K. Langford et al. Phys. Rev. Lett. 93, 053601 (2004). The 5 articles I have written on 2-party cryptography in quantum theory, Refs. [5,7,19,22,23], have together gathered 69 citations, 104 online.

### ***Most significant publications***

Ref. [11], “Evidence for the epistemic view of quantum states: a toy theory”, is my most original and significant work. By qualitatively reproducing a long list of quantum phenomena within a theory with a classical ontology, it provides strong new evidence in favour of the notion that quantum states are states of knowledge and has helped to persuade many foundations researchers of the promise of a research program that takes this notion as its starting point.

Ref. [19], “Contextuality for preparations, transformations and unsharp measurements,” provides the first truly operational definition of contextuality, and generalizes the standard notion in the process. It is fast becoming the new standard among researchers at the interface of quantum foundations and quantum information theory.

Ref. [16], “Dialogue Concerning Two Views on Quantum Coherence: Factist and Fictionist”, provides the clearest explanation to date of how to resolve a significant and recurrent controversy in quantum foundations concerning whether superselection rules are axiomatic or practical restrictions for various degrees of freedom.

### ***Media coverage***

2007 Mike Perricone, “Punting in Plato’s cave” FQXI website, Nov. 2007  
An article commissioned by FQXI concerning my research and the workshop “Operational probabilistic theories as foils to quantum theory” that I organized.  
[http://www.fqxi.org/community/data/articles/Spekkens\\_Robert.pdf](http://www.fqxi.org/community/data/articles/Spekkens_Robert.pdf)

- 2004 Eugenie Reich, “Which way is up?” *New Scientist* Oct. 2, 2004  
This is a feature article inspired by the Workshop on Reference Frames and Superselection Rules in Quantum Information Theory that I co-organized in July, 2004. I was the primary consultant on its content.
- 2002 “Foiling quantum cheats”, *Nature research highlights* Nov. 2002  
This is a 1-page review of R. W. Spekkens and T. Rudolph, *Quantum protocol for cheat-sensitive weak coin flipping*, *Phys. Rev. Lett.* **89**, 227901 (2002).

## Publications

### *Published articles*

#### Letters

1. R. W. Spekkens, “Negativity and contextuality are equivalent notions of nonclassicality”, *Phys. Rev. Lett.* **101**, 020401 (2008). (selected as an Editor’s suggestion)
2. M. S. Leifer and R. W. Spekkens, “Pre- and Post-selection paradoxes and contextuality in quantum mechanics”, *Phys. Rev. Lett.* **95**, 200405 (2005).
3. J.-C. Boileau, D. Gottesman, R. Laflamme, D. Poulin, and R. W. Spekkens, “Robust polarization-based quantum key distribution over a collective-noise channel”, *Phys. Rev. Lett.* **92**, 017901 (2004).
4. S. D. Bartlett, T. Rudolph, and R. W. Spekkens, “Classical and quantum communication without a shared reference frame”, *Phys. Rev. Lett.* **91**, 027901 (2003).
5. R.W. Spekkens and T. Rudolph, “Quantum protocol for cheat-sensitive weak coin flipping”, *Phys. Rev. Lett.* **89**, 227901 (2002).

#### Review articles

6. S. D. Bartlett, T. Rudolph and R. W. Spekkens, “Reference frames, superselection rules and quantum information”, *Rev. Mod. Phys.* **79**, 555 (2007).

#### Rapid Communications

7. T. Rudolph, R. W. Spekkens, and P. S. Turner, “Unambiguous discrimination of mixed states”, *Phys. Rev. A* **68**, 010301(R) (2003).

#### Regular articles

8. D. Kretschmann, D. W. Kribs, and R. W. Spekkens, “Complementarity of Private and Correctable Subsystems in Quantum Cryptography and Error Correction”, *Phys. Rev. A* **78**, 032330 (2008).
9. G. Gour and R. W. Spekkens, “The resource theory of quantum reference frames: manipulations and monotones”, *New J. Phys.* **10**, 033023 (2008). (chosen by editors for IOP select)

10. R. W. Spekkens and H. M. Wiseman, “Pooling quantum states obtained by indirect measurements”, *Phys. Rev. A* **75**, 042104 (2007).
11. R. W. Spekkens, “Evidence for the epistemic view of quantum states: a toy theory”, *Phys. Rev. A* **75**, 032110 (2007).
12. M. R. Dowling, S. D. Bartlett, T. Rudolph, R. W. Spekkens, “Observing a coherent superposition of an atom and a molecule”, *Phys. Rev. A* **74**, 052113 (2006).
13. D. W. Kribs and R. W. Spekkens, “Quantum Error Correcting Subsystems as Unitarily Recoverable Subsystems”, *Phys. Rev. A* **74**, 042329 (2006)
14. G. Gour and R. W. Spekkens, “Entanglement of Assistance is not an entanglement monotone”, *Phys. Rev. A* **73**, 062331 (2006).
15. S. D. Bartlett, T. Rudolph, R. W. Spekkens, and P. S. Turner, “Degradation of a quantum reference frame”, *New J. Phys.* **8**, 58 (2006).
16. S. D. Bartlett, T. Rudolph and R. W. Spekkens, “Dialogue Concerning Two Views on Quantum Coherence: Factist and Fictionist”, *Int. J. Quantum Inf.* **4**, 17 (2006), issue dedicated to the memory of Asher Peres; [www.arxiv.org/quant-ph/0507214](http://www.arxiv.org/quant-ph/0507214).
17. S. D. Bartlett, A. C. Doherty, R. W. Spekkens, and H. M. Wiseman, “Entanglement under restricted operations: an analogy to mixed state entanglement”, *Phys. Rev. A* **73**, 022311 (2006).
18. S. D. Bartlett, P. Hayden and R. W. Spekkens, “Random subspaces for encryption based on a private shared Cartesian frame”, *Phys. Rev. A* **72**, 052329 (2005).
19. R. W. Spekkens, “Contextuality for preparations, transformations and unsharp measurements,” *Phys. Rev. A* **71**, 052108 (2005).
20. T. Rudolph and R. W. Spekkens, “Quantum state targeting”, *Phys. Rev. A* **70**, 052306 (2004).
21. S. D. Bartlett, T. Rudolph, R. W. Spekkens, “Optimal measurements for relative quantum information”, *Phys. Rev. A* **70**, 032321 (2004).
22. S. D. Bartlett, T. Rudolph, R. W. Spekkens, “Decoherence-full subsystems and the cryptographic power of a private shared reference frame,” *Phys. Rev. A* **70**, 032307 (2004).
23. R. W. Spekkens and T. Rudolph, “Optimization of coherent attacks in Generalizations of the BB84 quantum bit commitment protocol,” *Quant. Inform. Compu.* **2**, 66 (2002).
24. R. W. Spekkens and T. Rudolph, “Degrees of concealment and bindingness in quantum bit commitment protocols,” *Phys. Rev. A* **65**, 012310 (2001).
25. R. W. Spekkens and J. E. Sipe, “Non-orthogonal core projectors for modal interpretations of quantum mechanics,” *Found. Phys.* **31**, 1403 (2001).
26. R. W. Spekkens and J. E. Sipe, “A modal interpretation of quantum mechanics based on a principle of entropy minimization,” *Found. Phys.* **31**, 1431 (2001).
27. R. W. Spekkens and J. E. Sipe, “Spatial fragmentation of a Bose-Einstein Condensate in a double-well potential,” *Phys. Rev. A* **59**, 3868 (1999).

### Conference Proceedings

28. M. S. Leifer and R. W. Spekkens, “Logical pre- and post-selection paradoxes, measurement-disturbance and contextuality,” [www.arxiv.org/quant-ph/0412179](http://www.arxiv.org/quant-ph/0412179), to appear in the proceedings of the Biennial Meeting of the International Quantum Structures Association, 2004.

29. S. D. Bartlett, A. C. Doherty, R. W. Spekkens, and H. M. Wiseman, “Mixed-State Entanglement in the Light of Pure-State Entanglement Constrained by Superselection Rules,” Proceedings of the 1st Asia-Pacific Conference on Quantum Information Science, National Cheng Kung University, Taiwan 10 - 13 December 2004
30. R. W. Spekkens and J. E. Sipe, “On the Detection of Single Mode Quantum Coherence at Optical Frequencies,” in *Coherence and Quantum Optics VIII*, eds. N. Bigelow et al. (Kluwer Academic, New York, 2003) p. 465.
31. R. W. Spekkens and J. E. Sipe, “Some remarks on fragmentation in Bose Condensates,” *Progress in Physics* **46**, 873 (1998).

### **To be published**

32. R. W. Spekkens, D. H. Buzacott, A. Keehn, B. F. Toner and G. J. Pryde, “Experimental demonstration of preparation contextuality and parity-oblivious multiplexing”, submitted to *Phys. Rev. Lett.*, arXiv:0805.1463 [quant-ph]

### **Submitted articles**

33. S. D. Bartlett, T. Rudolph, R. W. Spekkens, and P. S. Turner, “Quantum communication using a bounded-size quantum reference frame”, submitted to *New J. Phys.*, arXiv:0812.5040 [quant-ph]
34. N. Harrigan and R. W. Spekkens, “Einstein, incompleteness, and the epistemic view of quantum states”, submitted to *Found. Phys.*, arXiv:0706.2661 [quant-ph]
35. J. Oppenheim, R. W. Spekkens and A. Winter, “A classical analogue of negative information”, arXiv:quant-ph/0511247

### **Articles in preparation**

36. S. D. Bartlett, T. Rudolph and R. W. Spekkens, “Classical Liouville mechanics with an epistemic restriction is equivalent to Gaussian quantum mechanics”
37. M. S. Leifer and R. W. Spekkens, “Quantum analogues of Bayes' theorem, sufficient statistics and the pooling problem”

Drafts are available upon request

### **Book in preparation**

J. E. Sipe and R. W. Spekkens, “The quantum puzzle”, Oxford University Press

This is a graduate level textbook on the foundations of quantum mechanics. The aim of the textbook is to provide an unbiased survey, analysis, and comparison of the various approaches to the interpretation of quantum theory.

Table of contents:

1. Introduction
2. The nature of scientific theories
3. Operational quantum mechanics
4. Realist talk
5. Hidden variable theories: possibilities and constraints
6. Two Orthodoxies
7. The Copenhagen interpretation
8. Decoherence theory

9. The deBroglie-Bohm interpretation
  10. Quantum logic
  11. Consistent histories
  12. Many worlds
  13. Modal interpretations
  14. Collapse theories
  15. Outlook
- Draft chapters are available upon request

## Scholarly contributions

### **Conferences organized**

- 2007  
(2 weeks) Principal organizer (with Jonathan Barrett and Tony Short)  
Workshop entitled *Operational Probabilistic Theories as Foils to Quantum Theory*, DAMTP, University of Cambridge, July 2-13, 2007  
Website: <http://qubit.damtp.cam.ac.uk/users/rob/foilswebpage.htm>
- 2004  
(5 days) Co-organizer (with Stephen Bartlett)  
Workshop entitled *Reference Frames and Superselection Rules in Quantum Information Theory*, Perimeter Institute, July 12-16, 2004  
Website: <http://www.perimeterinstitute.ca/activities/scientific/cws/PI-WORK-1/index.php>

### **Invited talks at conferences**

- 9/2008 QICS Workshop on Foundational Structures for Quantum Information and Computation, Obergurgl, Austria
- 6/2008 Conference on Perspective in Physics and Philosophy, Paris, France
- 5/2008 Workshop on Information Primitives and Laws of Nature, ETH Zurich, Switzerland
- 1/2008 Sydney Quantum Information Theory Workshop, Sydney, Australia
- 11/2007 Applied Quantum Measurement Workshop, Leyden, Netherlands
- 8/2007 Quantum Foundations Summer School, Perimeter Institute, Waterloo, Canada
- 5/2007 Vienna Symposium on Foundations of Physics, Austria
- 5/2007 Workshop on Operational Quantum Physics and the Quantum-classical Contrast, Perimeter Institute, Waterloo, Canada
- 4/2007 Philosophical and Formal Foundations of Modern Physics, Fondation des Treilles, Tourtour, France
- 3/2007 Invited speaker at the 2007 APS March meeting, Denver, USA
- 11/2006 The Eighth International Conference on Quantum Communication, Measurement and Computing, Tsukuba, Japan (talk delivered by Stephen Bartlett)
- 6/2006 Workshop on Quantum-Classical Transition and Quantum Information, Benasque, Spain
- 8/2005 Being Bayesian in a Quantum World workshop, Konstanz, Germany
- 7/2005 Quantum information, computation and logic workshop, Perimeter

- Institute, Waterloo, Canada
- 5/2005 “What’s quantum in quantum computing?” workshop, Konstanz, Germany
- 2/2005 Tutorial at the meeting of the South-West Quantum Information Network, Tucson, USA
- 7/2004 The Seventh International Conference on Quantum Communication, Measurement and Computing, University of Strathclyde, Glasgow, UK
- 5/2004 Symposium on quantum information geometry and quantum computing, Fields Institute, Toronto, Canada
- 5/2004 University of Western Ontario symposium on the foundations of physics, London, Canada
- 2/2004 Workshop on Quantum computing, quantum information and quantum gravity, Perimeter Institute, Waterloo, Canada
- 6/2003 International Conference In Quantum Theory: Reconsideration of Foundations-2, Växjö, Sweden
- 5/2003 New Directions in the Foundations of Physics Conference, Washington D.C., USA
- 10/2002 Quantum Foundations in the Light of Quantum Information Workshop, Montreal, Canada

### ***Contributed talks at conferences***

- 8/2008 The Ninth International Conference on Quantum Communication, Measurement and Computing, Calgary, Canada
- 1/2008 The first Perimeter Institute Australia Foundations conference, Sydney, Australia
- 3/2007 The Fifteenth UK and European Meeting on the Foundations of Physics, Leeds, UK
- 7/2006 Kets, Cats and Cloisters conference, Oxford, UK
- 3/2006 APS March meeting, Baltimore, USA
- 7/2003 Workshop on Quantum Measurements and Quantum Stochastics, Aarhus, Denmark
- 9/ 2002 The Eleventh UK Conference in Foundations of Physics, Oxford University, UK
- 7/2000 Canadian Association of Physicists Congress, York University, Toronto, Canada
- 7/1998 Canadian Association of Physicists Congress, Waterloo University, Waterloo, Canada

### ***Other talks***

I have given 49 presentations as seminars in Canada, the United States, the United Kingdom, Australia, Italy, France, and Austria.

### ***Advisory roles***

2005-2006 Member of the advisory board for the topical group on quantum information, concepts and computation of the American Physical Society.



### ***Committee work***

- 2003 – 2004      Member of the postdoctoral fellow selection committee, Perimeter Institute
- 2003 –2004      Member of the quantum foundations search committee, Perimeter Institute
- 2001              Active in development of new joint undergraduate program in physics and philosophy at the University of Toronto

### ***External Examination for higher degrees***

- 2008              Ph. D. thesis of Steve Jones, Griffith University, Brisbane, Australia
- 2007              Ph.D. thesis of Roger Colbeck, University of Cambridge, Cambridge, UK
- 2003              Ph.D. thesis of Jay Gambetta, Griffith University, Brisbane, Australia

### ***Refereeing for international journals***

American Journal of Physics  
Canadian Journal of Physics  
Europhysics Letters  
Fluctuation and Noise Letters  
Foundations of Physics  
Journal of Mathematical Physics  
Journal of the Optical Society of America B  
Journal of Physics A and B  
Nature  
New Journal of Physics  
Philosophy of Science  
Physics Letters A  
Physical Review A  
Physical Review Letters  
Physica Scripta  
Quantum Information and Computation  
Reviews of Mathematical Physics  
Studies in the History and Philosophy of Modern Physics

### ***Outreach activities***

- 2004, 2005,      Keynote lecturer at the International Summer School for Young  
2007, 2008      Physicists, Perimeter Institute
- 11/2007          Lecture for students at Hills Road sixth form, Cambridge, UK
- 2/2007          Lecture for students at Eton College, Eton, UK
- 4/2005          Lecture for students at Brodie high school, Tucson, Arizona
- 3/2005          Public lecture, Black hole series, Perimeter Institute
- 2000, 2002      Judge for the Toronto Regional Sci-Tech Fair

***Graduate and undergraduate supervision***

- 2007-present Co-supervisor for Olaf Schreiber, Cambridge university, Ph.D. student
- 2006-2007 Co-supervisor for Roberta Rodriguez, Cambridge University, Ph.D. student  
(graduated 2007)
- 2005-2006 Supervisor for Elliot Martin, University of Waterloo undergraduate  
student, summer project and course research project
- 2004-2006 Co-supervisor (with Michele Mosca) for Lana Sheridan, University of  
Waterloo, Ph.D. student